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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/012,209	11/05/2001	Chik Patrick Yue	73169/276083 ATH-067(U)

CONFIRMATION NO. 5319

FORMALITIES LETTER



OC000000007287123

PILLSBURY WINTHROP, LLP
1600 Tysons Boulevard
McLean, VA 22102

Date Mailed: 01/10/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$420.
 ■ \$420 for 5 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1290.**

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

13/26/2002 NROCHA1 00000017 10012209

11 FC:201	370.00 OP
12 FC:202	210.00 OP
3 FC:205	65.00 OP

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yue	
Serial No. 10/012,209 Filed: November 5, 2001	Atty. Docket No. 073169/0276083 ATH-067
For: Integrated Balun And Transformer Structures	Palo Alto, California CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 Box 1 Missing Parts, on March 11, 2002 Signed <u>Kathleen M. Smith</u> Kathleen M. Smith

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner of Patents
Washington, DC 20231
BOX: Office of Initial Patent Examination/Missing Parts/Fee

Sir: [X] APPLICANT IS ENTITLED TO CLAIM SMALL ENTITY STATUS

In response to the Notice to File Missing Parts of Application mailed January 10, 2002, a copy of which is enclosed, Applicants herewith submit the following:

- [] Executed Power of Attorney by Assignee and Exclusion of Inventor
- [X] Executed Combined Declaration and Power of Attorney of Inventors
- [X] Assignment and Recordation Cover Sheet
- [X] Request to Correct Filing Receipt
- [] Petition for Extension
- [X] A check is enclosed for \$685.00 for the following:

Basic Filing Fee, Small Entity:	\$370.00
5 independent claims in excess of 3 @ \$42:	210.00
Small entity surcharge:	65.00
Assignment Recordation Fee:	40.00

Please charge any additional fees or credit any overpayment to Deposit Account No. 03-3975 (Order No. 073169/0276083).

Respectfully submitted
PILLSBURY WINTHROP LLP

By David A. Jakopin
David A. Jakopin, Reg. No. 32,995

1600 Tysons Boulevard
McLean, VA 22102
703-905-2000

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In re application of: Chik Patrick YUE	
Serial No. 10/012,209 Filed: November 5, 2001	Atty. Docket No. 073169/0276083 ATH-067(U)
Integrated Balun and Transformer Structures	San Francisco, California CERTIFICATE OF MAILING <i>I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on April 11, 2002</i> Signed <u><i>Kathleen M. Smith</i></u> Kathleen M. Smith

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner of Patents
Washington, DC 20231
BOX: Official Draftsperson

Sir:

Applicants herewith submit the following:

- [X] 4 sheets of formal drawings, substituting for the informal drawings filed with this application.
[] in connection with the Notice of Allowance mailed
[] ___ sheets of drawings in response to the Notice of Draftsperson's Drawing Review
[] ___ sheets of amended drawings which have been approved by the Examiner.

Respectfully submitted
PILLSBURY WINTHROP LLP

By *David A. Jakopin*
David A. Jakopin, Reg. No. 32,995

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